

Recursion

Chapter 5

Chapter Objectives

- To understand how to think recursively
- To learn how to trace a recursive method
- To learn how to write recursive algorithms and methods for searching arrays
- To learn about recursive data structures and recursive methods for a LinkedList class
- To understand how to use recursion to solve the Towers of Hanoi problem

Chapter Objectives (continued)

- To understand how to use recursion to process two-dimensional images
- To learn how to apply backtracking to solve search problems such as finding a path through a maze

Recursion

- **Recursion** is a problem-solving approach in which a problem is solved using repeatedly applying the same solution to smaller instances.
 - Each instance to the problem has size.
 - An instance of size n can be solved by putting together solutions of instances of size at most $n-1$.
 - An instance of size 1 or 0 can be solved very easily.

An Example: Computing the Length of a List Object

- Two data fields: data and next.
- If the element is null, return 0.
- If the element is not null, but the next is null, return 1.
- Otherwise, return 1 + the length of the list starting with the next.

```
public int count() {  
    if (this == null) return 0;           // Base case 0  
    else if (next == null) return 1;     // Base case 1  
    else return 1 + next.count();        // Recursive call  
}
```

Proof of Correctness is Similar to Proof-By-Induction

- Proof by induction
 - Prove the statement is true for the base case (size 0,1, or whatever).
 - Show that if the statement is assumed true for n , then it must be true for $n+1$.

Proof of Correctness

- Recursive proof is similar to induction. Verify that:
 - The base case is recognized and solved correctly
 - Each recursive case makes progress towards the base case
 - If all smaller problems are solved correctly, then the original problem is also solved correctly

System Processing of a Recursive Call

- Push onto a stack the information of the current execution.
- Execute the recursive call.
- Retrieve the information from the stack by pop.
- Too many recursive calls without pop will result in stack overflow.

Recursively Defined Mathematical Functions

Recursive Definitions of Mathematical Formulas

- **Factorial:** $n!$ where $n \geq 0$.
 - $0! = 1$.
 - If $n > 0$, $n! = n \times (n-1)!$.
- **Powers:** x^n , x to the power of n , where $x > 0$, $n \geq 0$.
 - If $n=0$, $x^n = 1$.
 - If $n>0$, $x^n = x \times x^{n-1}$.
- **Greatest Common Divisor:** $\text{gcd}(a,b)$, $a, b \geq 0$
 - $\text{gcd}(a,b) = \text{gcd}(b,a)$.
 - If $b=0$, $\text{gcd}(a,b) = a$.
 - If $a \geq b$, $\text{gcd}(a,b) = \text{gcd}(a-b,b)$.

Factorial, Powers, and gcd

```
public static int factorial(int n) {  
    if (n<0) return 0;  
    else if (n==0) return 1;  
    else return n * factorial(n-1);  
}
```

```
public static int powers(int x, int n) {  
    if ((x <= 0) || (n < 0)) return 0;  
    else if (n == 0) return 1;  
    else return n * powers(x, n-1);  
}
```

```
public static int gcd(int a, int b) {  
    if ((a<0) || (b<0)) return 0;  
    else if (a == 0) return b;  
    else if (b == 0) return a;  
    else if (a < b) return gcd(b,a);  
    else return gcd(b, a % b);  
}
```

Recursion Versus Iteration

- There are similarities between recursion and iteration
- In iteration, a loop repetition condition determines whether to repeat the loop body or exit from the loop
- In recursion, the condition usually tests for a base case
- An iterative solution exists to a problem that is solvable by recursion
- Recursive code may be simpler than an iterative algorithm and thus easier to write, read, and debug

Iterative Solutions

```
public static int factorial(int n) {
    if (n<0) return 0;
    fac = 1;
    for (int i=0; i<n; i++) { fac *= i; }
    return fac;
}
public static int powers(int x, int n) {
    if ((x <= 0) || (n < 0)) return 0;
    else if (n == 0) return 1;
    pow = 1;
    for (int i=0; i<n; i++) { pow *= x; }
    return pow;
}
public static int gcd(int a, int b) {
    if ((a<0) || (b<0)) return 0;
    if (a < b) { c = a; a = b; b = c;           // swap a and b.
    while (b>0) {
        r = a % b; a = b; b = r;             // Reduce a&b to b&(a mod b).
    }
    return a;
}
}
```

Efficiency of Recursion

- Recursive methods are often faster than iterative methods because the stack overhead is larger than the loop overhead.
- Recursive methods are easier to write and conceptualize.

Fibonacci Number

- $F(1) = 1$.
- $F(2) = 1$.
- For $n \geq 3$, $F(n) = F(n-1) + F(n-2)$

An $O(2^n)$ Recursive Method

```
public static int fibonacci(int n) {  
    if (n <= 2) return 1;  
    else return fibonacci(n-1) + fibonacci(n - 2);  
}
```

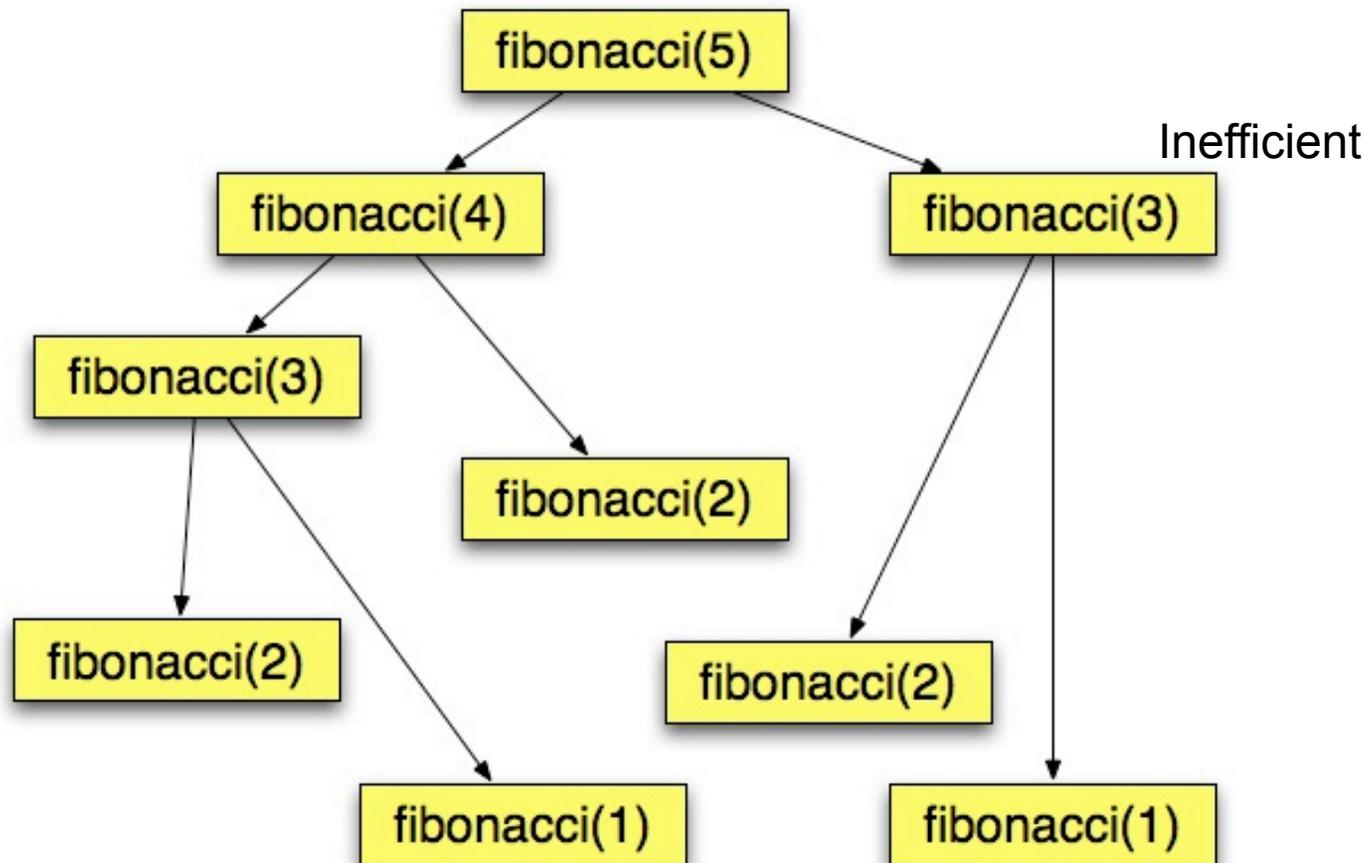
An $O(n)$ Recursive Method

- `fibonacci(a,b,c)` is invoked to compute $F(c+m)$ when $F(m+1)=a$ and $F(m)=b$

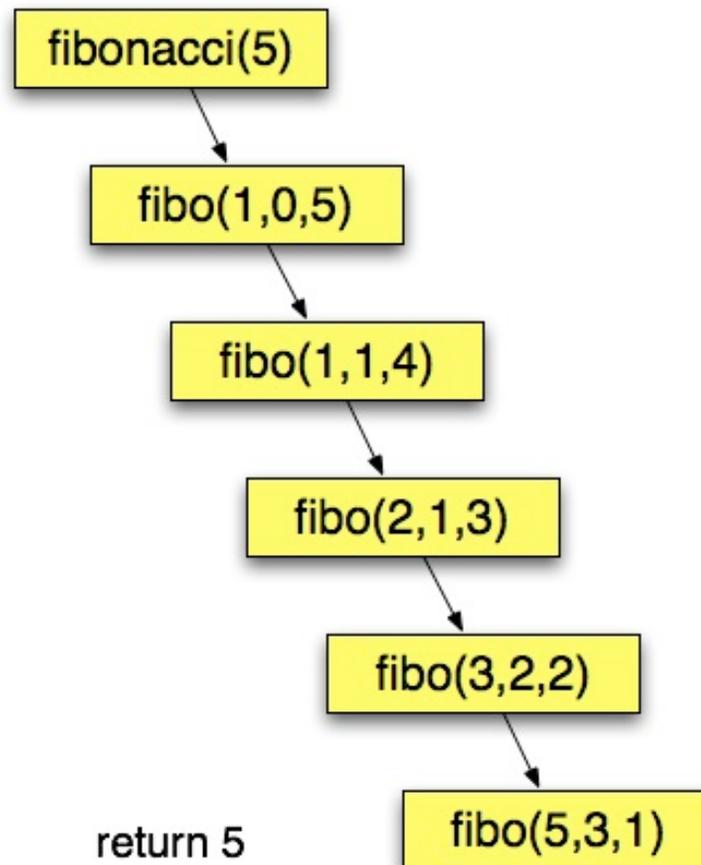
```
public static int fibonacci(int fibonacciCurrent, int fibonacciPrevious, int c) {  
    if (c == 1) return fibonacciCurrent;  
    else return fibonacci(fibonacciPrevious+fibonacciCurrent, fibonacciCurrent, c-1);  
}
```

Invoke `fibonacci(1,0,n)`

Efficiency of Recursion: Exponential Fibonacci



Efficiency of Recursion: $O(n)$ Fibonacci



Recursive Array Search

Linear Search
Versus
Binary Search

Linear Search in an Array A of size S

- To search A for an element E, invoke the search in the range [0, S-1].
 - To search in the range [I, S-1]
 - If $I == S$, then stop – the element is not in the array.
 - If the I-th element in the array is the one, stop there.
 - Otherwise, recursively search in the range [I+1, S-1].
- Search requires $O(S)$ time.

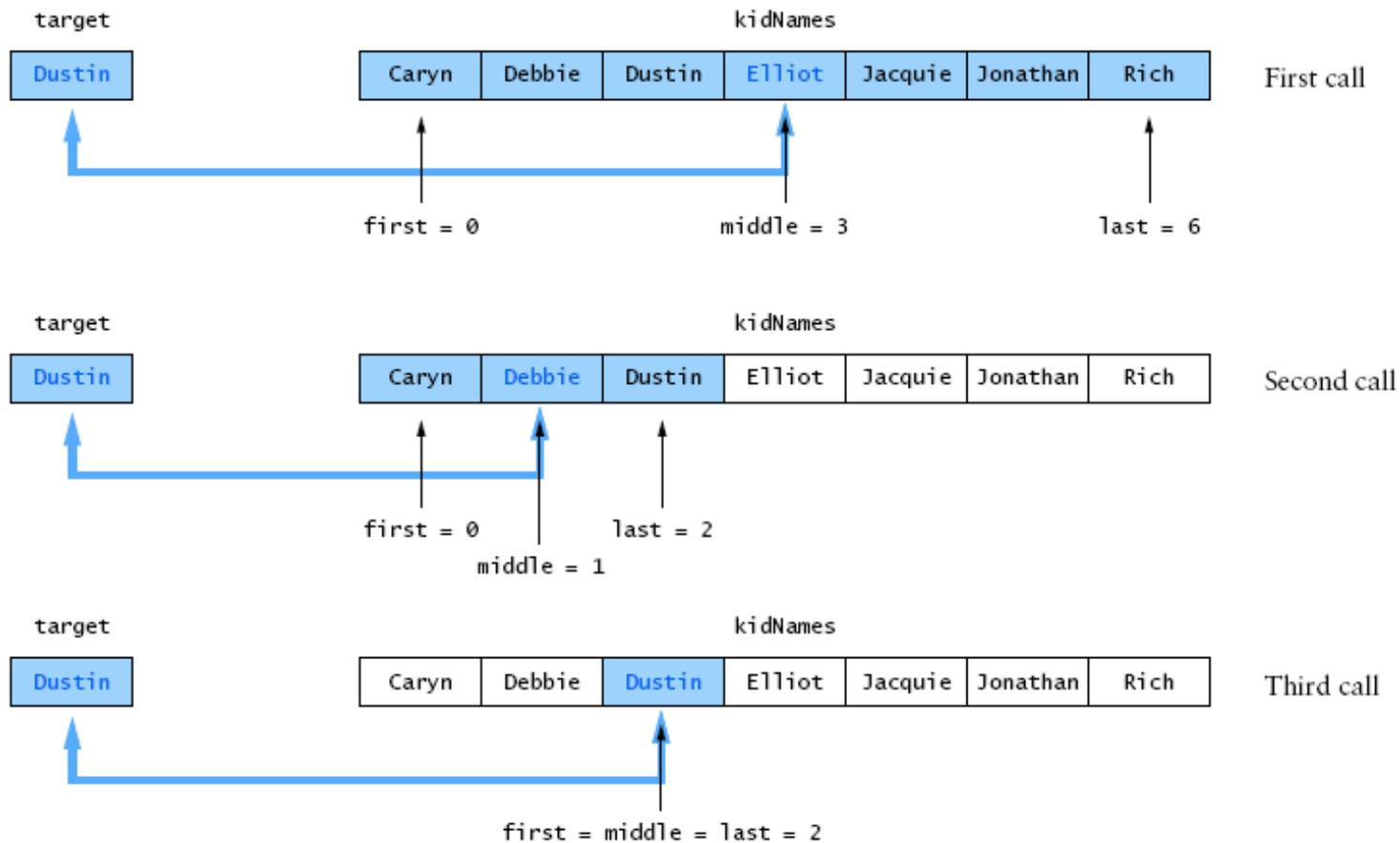
Binary Search in a Sorted Array

- The sorted elements in an array A . The first element is the smallest and the last element is the largest.
- Maintain the range of indices $[I, J]$ for search.
- Loop:
 - Indicate “Not found” if $I > J$.
 - Let K be the middle index; the integer part of $(I+J)/2$.
 - If $(A[K] == \text{target})$, indicate “Found”.
 - Else if $(A[K] > \text{target})$, set J to $K-1$.
 - Else set I to $K+1$.
- At each iteration, the size of range becomes at most a half, so the running time is $O(\log_2 n)$.

Design of a Binary Search Algorithm (continued)

FIGURE 7.9

Binary Search for "Dustin"



Algorithm for Binary Search

```
/** search items for target in the range [first, last]
 * returns the index of the target if found; -1 o.w.
 */
public int binarySearch(Object[] items, Comparable target, int first, int last) {
    if (first > last) return -1;
    int mid = (first + last) / 2;
    if (target.compareTo(items[mid]) == 0) {
        return mid;
    }
    else if (target.compareTo(items[mid]) < 0) { }
        return binarySearch(items, target, first, mid - 1);
    }
    else {
        return binarySearch(items, target, mid + 1, last);
    }
}
```

- The target has to be of a data type that implements compareTo:
 - compareTo is a method that gives as an integer the ordering between two elements (usually -1, 0, 1).

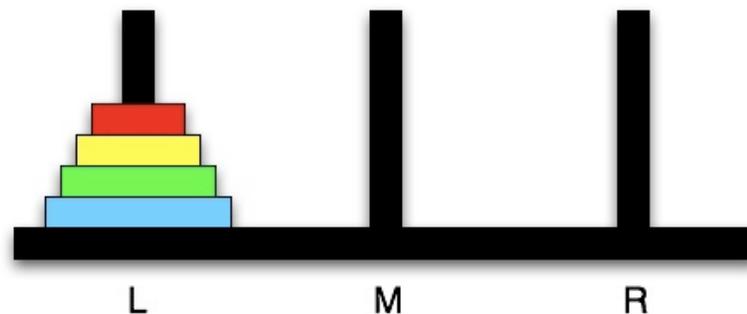
Method `Arrays.binarySearch`

- Java API class `Arrays` contains a `binarySearch` method
 - Can be called with sorted arrays of primitive types or with sorted arrays of objects
 - If the objects in the array are not mutually comparable or if the array is not sorted, the results are undefined
 - If there are multiple copies of the target value in the array, there is no guarantee which one will be found
 - Throws `ClassCastException` if the target is not comparable to the array elements

The Tower Of Hanoi

Towers of Hanoi

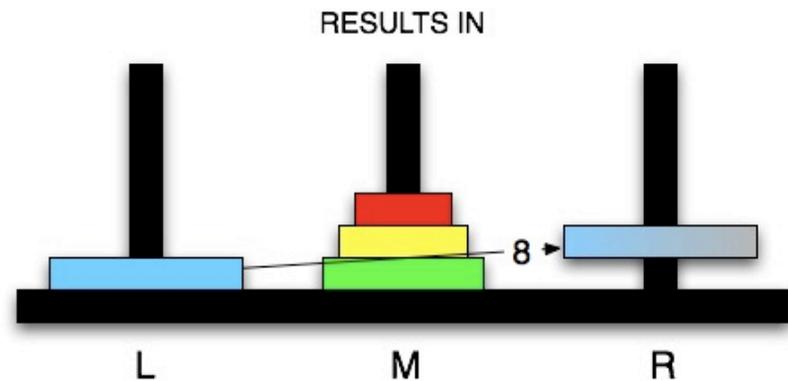
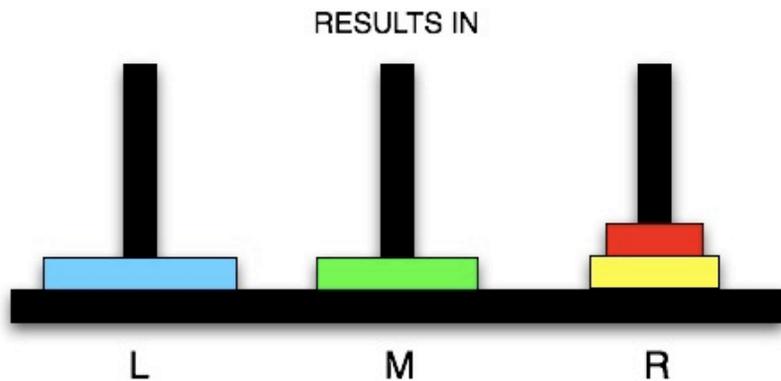
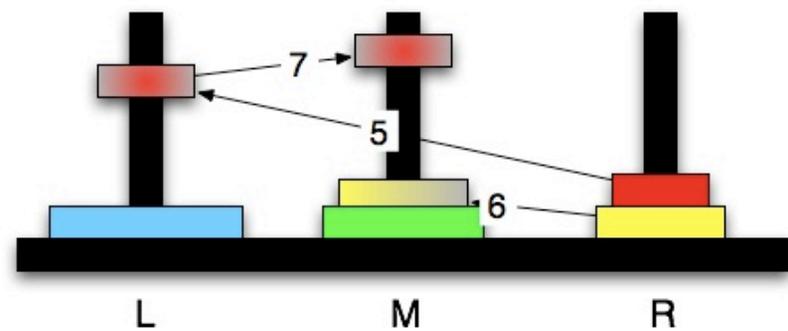
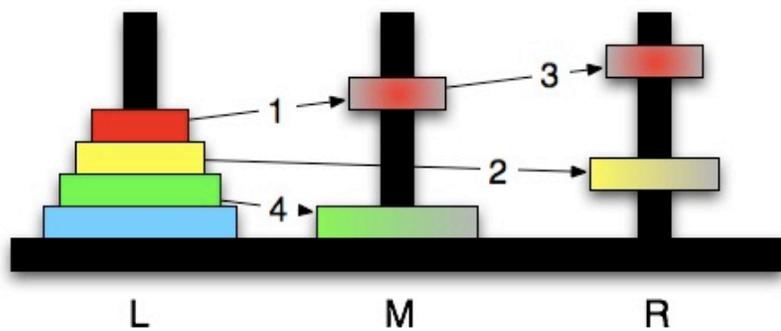
- There are 64 discs of all distinct diameters slid onto a peg in the increasing order of diameters with the smallest one on the very top. There are two other pegs
- Move all the discs to one of the two other pegs with the following rules:
 - A disc can be moved only one at a time.
 - A larger disc must not be placed on a smaller disc.
- Goal: Compute the shortest moves to accomplish this task.



Formulation of the Towers-of-Hanoi Problem

- Consider the sub-problem of moving the top N discs from peg X to peg Y , where $1 \leq N \leq 64$, $X \neq Y$, and X and Y are members of $\{ L, M, R \}$.
- The initial invocation: $N = 64$, $X=L$, $Y=R/M$.

Algorithm for Towers of Hanoi: N=4



Recursive Algorithm for Towers of Hanoi

- Input N, X, Y
- $N=1$:
 - Move the top disc of peg X to peg Y .
- $N>1$:
 - Let Z be the peg other than X or Y .
 - Move the top $N-1$ discs from X to Z .
 - Move the top 1 disc from X to Y .
 - Move the top $N-1$ discs from Z to Y .

Towers of Hanoi Class

```
public class TowersOfHanoi {
    /** Recursive method for Towers of Hanoi
        pre: the three chars are all distinct
        @param n is the number of disks
        @param startPeg is the peg where the disks currently are
        @param destPeg is the peg which the disks should move to
        @param tempPeg is the remaining peg
    public static String showMoves(int n, char startPeg, char destPeg,
                                    char tempPeg) {
        if (n==1) {
            return
            "Move disc 1 from " + startPeg + " to " + destPeg + "\n";
        } else {
            return
            TowersOfHanoi(n-1, startPeg, tempPeg, destPeg) +
            "Move disc " + n + " from " + startPeg + " to " + destPeg + "\n";
            TowersOfHanoi(n-1, tempPeg, destPeg, startPeg);
        }
    }
}
```

Backtracking

Backtracking

- Backtracking is an approach to implementing systematic trial and error in a search for a solution.
 - It explores alternative search paths and eliminates them if they don't work.
 - It remembers the search history to avoid trying the same path again.
- Recursion is a natural way to implement backtracking
 - The trace of successive recursive calls represents the search history with an instrumentation of exhaustive search at each level.

Maze Threading

- Input: a two-dimensional of cells, M by N
 - BACKGROUND ... a cell can be walked in
 - BLOCKED ... a cell that can never be walked in
- Output:
 - A path from (0,0) to (M-1, N-1) that visits only BACKGROUND cells, if one exists
- Additional Types:
 - PATH ... a cell that is determined to be on the path to be built
 - TEMPORARY ... a non-blocked cell that is found not be on any path to the goal

Algorithm for Maze Threading

- Use recursive search from cell (I,J)
 if (I<0 || J<0 || I>=M || J>=N) { **return** false; }
 else if (type of cell (I,J) != BACKGROUND) { **return** false; }
 else {
 set type of cell (I,J) to PATH;
 if (I,J) is goal { **return** true; }
 else if Search from (I-1,J) returns true { **return** true; }
 else if Search from (I+1,J) returns true { **return** true; }
 else if Search from (I,J-1) returns true { **return** true; }
 else if Search from (I,J+1) returns true { **return** true; }
 else { set type of cell (I,J) to TEMPORARY; **return** false; } }

